



INVESTOR APPLICATION FORM

CEYLON ASSET MANAGEMENT CO. LTD.

NO. 33, PARKLAND 1, PARK STREET, COLOMBO 2, SRI LANKA. +94 (11) 739 4000 / +94 (71) 703 0000

www.ceylonam.com, info@ceylonam.com

Type of Account

Sole Account
 Joint Account
 Minor Account
 Corporate Account
 Other

Office use only

Agent Code:

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Account No:

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Please fill in BLOCK letters

First Applicant	Joint / Minor Applicant
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Title Mr Mrs Ms Dr Other

Full Name of the Investor

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Date of Birth / Incorporation

D	D	M	M	Y	Y	Y	Y
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 Corporate Accounts Nature of Business

D	D	M	M	Y	Y	Y	Y
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 Relationship to the First Applicant

NIC / Passport / Company Registration No.

Nationality

Are you a US Citizen or a Greencard holder? Yes No

Relationship to the First Applicant Spouse Immediate Family

Permanent Address

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Province / State

Country

Postal / Zip Code

Correspondence Address (if different from above)

--	--

Province / State

Country

Postal / Zip Code

Status of the Permanent Address Official Owner Lease / Rent Other

E - Mail Address

Telephone No.

+																			
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Mobile No.

+																			
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Marital Status Single Married Other

Spouse Name

Occupation

Institutional Investors Only

Contact Person 1 (Mr / Mrs / Miss / Ms / Other) _____

E Mail _____ Telephone + _____ Mobile No. + _____

Contact Person 2 (Mr / Mrs / Miss / Ms / Other) _____

E Mail _____ Telephone + _____ Mobile No. + _____

Know Your Customer (KYC) Profile

First Applicant	Joint / Minor Applicant	
Source of Funds		
<input type="checkbox"/> Salary / Professional Income / Profits <input type="checkbox"/> Family Remittances <input type="checkbox"/> Gift / Inheritance <input type="checkbox"/> Rent Income <input type="checkbox"/> Business Turnover <input type="checkbox"/> Investment Proceeds <input type="checkbox"/> Sale of Property <input type="checkbox"/> Other (please specify) <input style="width: 100%;" type="text"/>	<input type="checkbox"/> Salary / Professional Income / Profits <input type="checkbox"/> Family Remittances <input type="checkbox"/> Gift / Inheritance <input type="checkbox"/> Rent Income <input type="checkbox"/> Business Turnover <input type="checkbox"/> Investment Proceeds <input type="checkbox"/> Sale of Property <input type="checkbox"/> Other (please specify) <input style="width: 100%;" type="text"/>	
Occupation <input style="width: 100%;" type="text"/>		
Place of Work <input style="width: 100%;" type="text"/>		
Annual Income <input style="width: 100%;" type="text"/>		
Investor Bank Account Details		
Bank/Branch Name & Swift Code	Account Name & Number	Account Type
<input style="width: 100%; height: 100%;" type="text"/>	<input style="width: 100%; height: 100%;" type="text"/>	<input style="width: 100%; height: 100%;" type="text"/>

Proof of Residency document provided by the applicant : (Please submit any one of the following documents and mark (X) on the relevant box below)

Telephone Bill Electricity Bill Bank Statement / Credit Card Statement Mobile Phone Bill Valid Tenancy Agreement Income Tax Notice

Expected value of transactions per annum : Please mark (X)

Less than USD/LKR 10,000 USD/LKR 10,000 to 100,000 USD/LKR 100,000 to 1,000,000 USD/LKR 1,000,000 to 5,000,000 Over USD/LKR 5,000,000

Other Connected Business / Professional Activities

Nomination Details			
Particulars	Nominee 1	Nominee 2	Nominee 3
Name of the Nominee	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Date of Birth & NIC/PP/Birth Certificate No	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Relationship to the investor	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Name & NIC/PP No. of the Guardian	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Address of the Guardian	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Percentage of Allocation & Signature	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>

Declaration

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

I/We authorise Ceylon Asset Management Co. Ltd to accept Creation, Redemption Request and Switching Request between funds managed by the company via fax and/or email in order to transact Unit on my/our behalf. I/we further indemnify that acting on such instructions sent by fax and/or email hold harmless to Ceylon Asset

I/We understand that the **value of units may fluctuate** and **capital gains or losses** may occur due to market conditions.

I/We understand that all **AGENTS** of Ceylon Asset Management are **NOT** authorized to accept **CASH** from me/us.

Signature _____ D D | M M | Y Y Y Y Signature _____ D D | M M | Y Y Y Y
 Instructions

1. Investment Process

A) Applications are available at www.ceylonam.com or Ceylon Asset Management Co. Ltd., 281, Union Place, Colombo 02, Sri Lanka.

B) Please submit the following documents along with the application form:

Corporate Investors

- i) Certified copy of the Certificate of Incorporation
- ii) Copy of the Board Resolution as per the Articles of Association
- iii) A letter of Authorisation with specimen signatures and company seal to operate the
- iv) Certified copy of Articles of Association
- v) Certified passport copies of Authorised Signatories

Individual Investors

- i) Billing proof of Address
- ii) A copy of the National Identification Card (NIC) / Passport
- iii) Proof of fund transfer

2. Redemption Process

A) Redemption request should be made by the investor via e-mail, with a copy of a scanned signed letter to redemption@ceylonam.com or posting the originals.

B) Investors who holding a certificate, must submit the signed original certificate via registered post or hand delivered to Ceylon Asset Management Co Ltd

C) In case of a joint account, both first & joint applicant should sign the redemption request.

D) Corporates must sign under the seal as provided in the Letter of Authorisation

E) Units will be redeemed based on buying price of the day the redemption request is received.

F) Funds will be remitted to the source bank account of the original investment

G) For queries, please contact us on info@ceylonam.com or call us on +94 739 4000

3. Notices to the Fund Manager

The manager must be notified immediately, in writing, of the following:

- A) Any change of address or contact details
- B) Changes in nominees & any changes in payment instructions



CREATION REQUEST FORM

CEYLON ASSET MANAGEMENT CO. LTD.

NO. 33, PARKLAND 1, PARK STREET, COLOMBO 2, SRI LANKA. +94 (11) 739 4000 / +94 (71) 703

0000 www.ceylonam.com, info@ceylonam.com

<input type="checkbox"/> Sole Account	<input type="checkbox"/> Joint Account	<input type="checkbox"/> Minor Account	Agent Code:	<input type="text"/>
<input type="checkbox"/> Corporate Account	<input type="checkbox"/> Other		Account No:	<input type="text"/>

Full Name

NIC / Passport / Company Registration No.

Payment Information Cheque / Bank Draft Cash Deposited to Bank Bank Transfer SLIP RTGS TT SWIFT

Cash Deposited / Cheque Drawee Bank & Branch Name Debit Account Name & No.

Cheque / Bank Draft No. Debit Bank & Branch Name

<input type="checkbox"/> Ceylon Index Fund	<input type="text"/>	<input type="checkbox"/> Hatton National Bank PLC, Head Office Branch	<input type="text"/>	<input type="checkbox"/> Sampath Bank PLC, City Branch	<input type="text"/>
<input type="checkbox"/> Ceylon Tourism Fund	<input type="text"/>	<input type="checkbox"/> Hatton National Bank PLC, Head Office Branch	<input type="text"/>	<input type="checkbox"/> Sampath Bank PLC, Headquarter Branch	<input type="text"/>
<input type="checkbox"/> Ceylon Financial Sector Fund	<input type="text"/>	<input type="checkbox"/> Hatton National Bank PLC, Head Office Branch	<input type="text"/>	<input type="checkbox"/> Deutsche Bank AG, Colombo Branch	<input type="text"/>
<input type="checkbox"/> Ceylon Income Fund	<input type="text"/>	<input type="checkbox"/> Hatton National Bank PLC, Head Office Branch	<input type="text"/>	<input type="checkbox"/> Hatton National Bank PLC, Head Office Branch	<input type="text"/>
<input type="checkbox"/> Ceylon IPO Fund	<input type="text"/>	<input type="checkbox"/> Hatton National Bank PLC, Head Office Branch	<input type="text"/>		
<input type="checkbox"/> Ceylon Money Market Fund	<input type="text"/>	<input type="checkbox"/> Hatton National Bank PLC, Head Office Branch	<input type="text"/>		
<input type="checkbox"/> Ceylon Dollar Bond Fund	<input type="text"/>	<input type="checkbox"/> Hatton National Bank PLC, Head Office Branch	<input type="text"/>		
<input type="checkbox"/> Ceylon Treasury Income Fund	<input type="text"/>	<input type="checkbox"/> Hatton National Bank PLC, Head Office Branch	<input type="text"/>		

Remarks :

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Signature

D D | M M | Y Y Y Y

Signature

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